





CLIENT IDENTITY CHART - INDIVIDUAL / PRINCIPAL	
TRUSTEE / SETTLOR / BENEFICIARIE / PROTECTOR / CO. DIRECTOR / SUBSTANTIAL S/HOLDER / A/C SIGNATORY / SIGNIFICANT PARTNER / PROXY	
Mr / Mrs / Miss / Minor	Single / Married / Divorced / Widower / Widow
Family Name	
Other Names	
Former Names and/or Aliases	
Permanent Residential Address	
Telephone Number	Home: Office:
Fax Number	
E-mail Address	
Date of Birth	
Place of Birth	
Nationality	
Place of Work	
Occupation	
ID Card Number	
Passport No. & Date of Issue	
Average Monthly Income	<input type="checkbox"/> 1,000 - 5,000 <input type="checkbox"/> 20,001 - 25,000 <input type="checkbox"/> 5,001 - 10,000 <input type="checkbox"/> 25,001 - 40,000 <input type="checkbox"/> 10,001 - 15,000 <input type="checkbox"/> 40,001 - 75,000 <input type="checkbox"/> 15,001 - 20,000 <input type="checkbox"/> Above 75,000
Net assets ( in MUR millions):(please Tick)	<input type="checkbox"/> Below 0.50 <input type="checkbox"/> Between 0.50 and <input type="checkbox"/> Between 1 and 3 <input type="checkbox"/> Between 3 and 5 <input type="checkbox"/> Between 5 and 10 <input type="checkbox"/> Above 10
Documents Appended	
Source of funds	

**Declaration and Undertaking**

I..... hereby declare that I am not involved and shall not be involved directly or indirectly in :

- (i) Any money laundering offenses under the Financing Intelligence and Antimoney Laundering Act 2002, or
- (ii) Terrorist Financing activities under the Prevention of Terrorism Act 2002.

I hereby:

- (i) Certify that the above information is true and correct
- (ii) Undertake to notify MUA Stockbroking Ltd in writing of any change of particulars or information provided by me in this form.

Signature:

Name:

Date:

For office Use only

Introduced by..... Checked by.....

Approved by..... Date.....



## DATA PROTECTION CONSENT FORM

### Data collection, retention & disclosure

The Applicant acknowledges, understands and agrees that MUA Stockbroking Ltd shall, for the performance of its obligations hereunder, collect and where necessary or required, process and store personal information which the Applicant hereby voluntarily discloses to it (the “**Personal Data**”) for as long as is necessary to fulfil the purposes for which it was collected, including for the purposes of satisfying any legal, accounting or reporting requirements.

The Company has a legal obligation to store basic information about its customers for ten years after they cease being customers. The Company undertakes to treat the personal data confidentially and securely in line with the provisions of the Data Protection Act 2017, (DPA) as amended from time to time.

The Company further undertakes not to reveal or otherwise disclose the personal data to any external body, unless:

1. it has obtained the express consent of the Applicant, or
2. it is under either a legal obligation or any other duty to do so, or
3. the personal data is disclosed to any agent, service provider, professional adviser or any other person under a duty of confidentiality towards the company

### Your rights

Pursuant to the provisions of the DPA and subject to the prescribed fee (if any) the Applicant acknowledges that he has, in relation to his personal data which is in the custody or control of the Company, the right:

1. to access to, to request rectification and erasure;
2. to object to the processing;
3. to withdraw consent at any time, without affecting the lawfulness of processing based on the consent which he had provided prior to his withdrawal.

### Transfer outside Mauritius

The Applicant expressly acknowledges and agrees that the foregoing disclosures may require that the personal data be transferred to parties located in countries which do not offer the same level of data protection as the Applicant’s home country.

### Complaint

Should the Applicant wish to exercise any of the aforementioned rights or should he/she have any queries relating to the processing of his Personal Data, he/she may contact the Data Protection Officer of the Company at [mlandinaff@muastockbroking.mu](mailto:mlandinaff@muastockbroking.mu). For more information on how your privacy is protected, please consult our Data Protection Policy.

In case you are not satisfied with the reply provided, you may refer the complaint to the Data Protection Commissioner.

The Applicant acknowledges that the consent given in this Form applies to all personal data provided to the company as from now on.

.....

Signature of client

.....

Date

## STOCKBROKING



CLIENT IDENTITY CHART - PRIVATE / PUBLIC COMPANY - SOCIETE - TRUST

RESIDENT / FOREIGN / OFFSHORE

Full Name	
Address of Registered Office	
Address of Principal Place of Business	
Address for Correspondence	
Telephone Number(s)	
Fax Number	
E-mail Address	
Main Business Activity	
Date and Place of Incorporation	
Name of Directors:	
Documents Appended	
Source of funds :	

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